#3

## COMBINED DECLARATION AND POWER OF ATTORNEY FOR UTILITY PATENT APPLICATION

Attorney's Docket No.

032005-068 O I P & C S

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I BELIEVE I AM THE ORIGINAL, FIRST AND SOLE INVENTOR (if only one name is listed below) OR AN ORIGINAL, FIRST AND JOINT INVENTOR (if more than one name is listed below) OF THE SUBJECT MATTER WHICH IS CLAIMED AND FOR WHICH A PATENT IS SOUGHT ON THE INVENTION ENTITLED:

WHICH IS CLAIMED AND FOR WHICH A PATENT IS	S SOUGHT ON THE INVENTION ENTITLED:	
DEPTH AND PUNCTURE CONTROL FOR SYSTEM I	FOR HEMOSTATIS OF BLOOD VESSEL	
the specification of which		
(check one)	is attached hereto;	
	X was filed on July 24, 2000	as
	Application No. 09/621,670	
	and was amended on	;
	(if applicable)	

I HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE;

I ACKNOWLEDGE THE DUTY TO DISCLOSE TO THE OFFICE ALL INFORMATION KNOWN TO ME TO BE MATERIAL TO PATENTABILITY AS DEFINED IN TITLE 37, CODE OF FEDERAL REGULATIONS, Sec. 1.56 (as amended effective March 16, 1992);

I do not know and do not believe the said invention was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to said application; that said invention was not in public use or on sale in the United States of America more than one year prior to said application; that said invention has not been patented or made the subject of an inventor's certificate issued before the date of said application in any country foreign to the United States of America on any application filed by me or my legal representatives or assigns more than twelve months prior to said application;

I hereby claim foreign priority benefits under Title 35, United States Code Sec. 119 and/or Sec. 365 of any foreign application(s) for patent or inventor's certificate as indicated below and have also identified below any foreign application for patent or inventor's certificate on this invention having a filing date before that of the application(s) on which priority is claimed:

	TONAL	APPLICATION NU	MBER I	OATE OF FILING (day, month, year)	PRIORI CLAIM	
	-			•	YES_ N	VO
					YES_ N	1O_
I hereby appoint the following and Trademark Office connects applications directed to said  William L. Mathis Robert S. Swecker Platon N. Mandros Benton S. Duffett, Jr. Norman H. Stepno Ronald L. Grudziecki Frederick G. Michaud, Jr. Alan E. Kopecki Regis E. Slutter Samuel C. Miller, III Robert G. Mukai George A. Hovanec, Jr.	ected therewi	Eric H. Weisblatt James W. Peterson Teresa Stanek Rea Robert E. Krebs William C. Rowland T. Gene Dillahunty Patrick C. Keane B. Jefferson Boggs, Jr. William H. Benz Peter K. Skiff Richard J. McGrath Matthew L. Schneider	30,505 26,057 30,427 25,885 30,888 25,423 32,858 32,344 25,952 31,917 29,195 32,814	Bruce T. Wieder Todd R. Walters Ronni S. Jillions Harold R. Brown Allen R. Baum Steven M. duBois Brian P. O'Shaugl Kenneth B. Leffle Fred W. Hathawa Wendi L. Weinste Mary Ann Dillahu	tion with inter	Patent national 33,815 34,040 31,979 36,341 35,023 32,747 36,075 32,236 34,456 34,576
James A. LaBarre E. Joseph Gess	28,632 28,510	Michael G. Savage Gerald F. Swiss	32,596 30,113		 839	
R. Danny Huntington	27,903	Charles F. Wieland III	33,096	21	033	
and:Address all correspondence	to:	Robert E. Kre				
	1111	Burns, Doan P.O. Box 1404		Mathis, L.L.P.		
	I <b>II</b> I					
	1111		1 irginia 22313-1	404		
21839	IIII			404		
21839 Address all telephone calls t	IIII to: <u>Robert</u>	Alexandria, V	irginia 22313-1		_ at (650) 622.	-2300.
Address all telephone calls to I hereby declare that all state and belief are believed to be ments and the like so made a States Code and that such w	ements made e true; and fu are punishabl villful false sta	Alexandria, V  E. Krebs, Esq.  herein of my own known there that these statement the by fine or imprisonment at the statement of the statements may jeopardize	ledge are true as were made wat, or both, und	and that all statements ith the knowledge that der Section 1001 of Tithe application or any	made on infor t willful false s tle 18 of the U patent issued	mation state- nited thereon.
Address all telephone calls to I hereby declare that all state and belief are believed to be ments and the like so made a States Code and that such we FULL NAME OF SOLE OR F	ements made e true; and fu are punishabl villful false sta	Alexandria, V  E. Krebs, Esq.  herein of my own know rither that these statement le by fine or imprisonment atements may jeopardize  TOR  SIG	ledge are true as were made wat, or both, und the validity of	and that all statements ith the knowledge that der Section 1001 of Tithe application or any	made on infor t willful false s tle 18 of the U patent issued	mation state- nited thereon.
Address all telephone calls to I hereby declare that all state and belief are believed to be ments and the like so made a States Code and that such work to be the state of th	ements made e true; and fu are punishabl villful false sta	Alexandria, V  E. Krebs, Esq.  herein of my own know rither that these statement le by fine or imprisonment atements may jeopardize  TOR  SIG	ledge are true as were made wat, or both, und	and that all statements ith the knowledge that the Section 1001 of Tithe application or any	made on infor t willful false s tle 18 of the U	mation state- nited thereon.
Address all telephone calls to I hereby declare that all state and belief are believed to be ments and the like so made a States Code and that such work to be the state of th	ements made e true; and fur are punishabl villful false sta	Alexandria, V  E. Krebs, Esq.  herein of my own known there that these statement le by fine or imprisonment atements may jeopardize  TOR  SIGNAL  SIGN	ledge are true as were made wat, or both, und the validity of	and that all statements ith the knowledge that der Section 1001 of Tithe application or any	made on infor t willful false s tle 18 of the U patent issued	mation state- nited thereon.
Address all telephone calls to I hereby declare that all state and belief are believed to be ments and the like so made a States Code and that such we FULL NAME OF SOLE OR F	ements made e true; and fur are punishabl villful false sta	Alexandria, V  E. Krebs, Esq.  herein of my own known there that these statement le by fine or imprisonment atements may jeopardize  TOR  SIGNAL  SIGN	ledge are true as were made wat, or both, und the validity of	and that all statements ith the knowledge that aler Section 1001 of Tithe application or any	made on infor t willful false s tle 18 of the U patent issued	mation state- nited thereon.
Address all telephone calls to I hereby declare that all state and belief are believed to be ments and the like so made a States Code and that such work with the solution of	ements made e true; and fur are punishable villful false sta FIRST INVEN	Alexandria, V  E. Krebs, Esq.  herein of my own known the that these statements lee by fine or imprisonment at the temperature of the statements may jeopardize TOR  SIGNATION	ledge are true as were made wat, or both, und the validity of	and that all statements ith the knowledge that aler Section 1001 of Tithe application or any	made on infor t willful false s tle 18 of the U patent issued	mation state- nited thereon.
Address all telephone calls to I hereby declare that all state and belief are believed to be ments and the like so made a States Code and that such w FULL NAME OF SOLE OR FORMARK ASHBY RESIDENCE 30012 Bello Place, Laguna Nig POST OFFICE ADDRESS same as above	ements made e true; and fur are punishable villful false sta FIRST INVEN	Alexandria, V  E. Krebs, Esq.  herein of my own known the that these statements lee by fine or imprisonment at the temperature of the statements may jeopardize TOR  SIGNATION	ledge are true as were made want, or both, und the validity of	and that all statements ith the knowledge that aler Section 1001 of Tithe application or any	made on infort willful false stee 18 of the Upatent issued	mation state- nited thereon.
Address all telephone calls to I hereby declare that all state and belief are believed to be ments and the like so made a States Code and that such w FULL NAME OF SOLE OR FMark Ashby RESIDENCE 30012 Bello Place, Laguna Nig POST OFFICE ADDRESS same as above FULL NAME OF SECOND JO	ements made e true; and fur are punishable villful false sta FIRST INVEN	Alexandria, V  E. Krebs, Esq.  herein of my own known the that these statements lee by fine or imprisonment at the temperature of the statements may jeopardize TOR  SIGNATION	ledge are true as were made want, or both, und the validity of	and that all statements ith the knowledge that the Section 1001 of Tithe application or any CITIZENSHIP U.S.A.	made on infort willful false stee 18 of the Upatent issued	mation state- nited thereon.
Address all telephone calls to I hereby declare that all state and belief are believed to be ments and the like so made a States Code and that such work with the state of the states Code and that such work with the states of t	ements made e true; and fur are punishable villful false sta FIRST INVENT	Alexandria, V  E. Krebs, Esq.  herein of my own known there that these statement lee by fine or imprisonment at the may jeopardize to the statement of the stat	ledge are true as were made want, or both, und the validity of	and that all statements ith the knowledge that the Section 1001 of Tithe application or any CITIZENSHIP U.S.A.	made on infort willful false stee 18 of the Upatent issued	mation state- nited thereon.
Address all telephone calls to I hereby declare that all state and belief are believed to be ments and the like so made a States Code and that such w FULL NAME OF SOLE OR FMark Ashby RESIDENCE 30012 Bello Place, Laguna Nig POST OFFICE ADDRESS same as above FULL NAME OF SECOND JORESIDENCE	ements made e true; and fur are punishable villful false sta FIRST INVENT	Alexandria, V  E. Krebs, Esq.  herein of my own known there that these statement lee by fine or imprisonment at the may jeopardize to the statement of the stat	ledge are true as were made want, or both, und the validity of ENATURE	and that all statements ith the knowledge that the Section 1001 of Tithe application or any CITIZENSHIP U.S.A.	made on infort willful false stee 18 of the U patent issued DATE	mation state- nited thereon.

Page 2 of 2 (01/01)

13

Patent Attorney's Docket No. 032005-068

Applicant or Patentee: Mark Ashby

Application or Patent No.: 09/621,670

Filed or Issued: July 24, 2000

For: DEPTH AND PUNCTURE CONTROL FOR SYSTEM FOR HEMOSTASIS OF SACOD: VESSEL

## VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 C.F.R. §§ 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

- [ ] the owner of the small business concern identified below:
- [X] an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN Sub-Q, Inc.

ADDRESS OF CONCERN 1062-D Calle Negocio, San Clemente, CA 92673

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 C.F.R. § 1.21 for purposes of paying reduced fees under Sections 41(a) and 41(b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average, over the previous fiscal year of the concern, of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled <u>DEPTH AND PUNCTURE CONTROL FOR SYSTEM FOR HEMOSTASIS OF BLOOD VESSEL</u> by inventor(s) <u>Mark Ashby</u> described in

l i	the specification	filed herewith			
[X]	Application No.	09/621,670	, filed	July 24, 2000	
[]	Patent No	, , is	ssued		·

If the rights held by the above-identified small business concern are not exclusive, each individual, concern, or organization having rights to the invention is listed below,\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. § 1.9(c), or by any concern that would not qualify as either a small business concern under 37 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. § 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 C.F.R. § 1.27.)

Application No. <u>09/621,670</u> Attorney's Docket No. <u>032005-008</u>

NAME			
ADDRESS _			
	[ ] individual	[ ] small business concern	[ ] nonprofit organization
NAME			
ADDRESS _			
	[ ] individual	[ ] small business concern	[ ] nonprofit organization
resulting in learlier of the entity is no learning to the entity is no learning to the entity is not t	oss of entitlement e issue fee and a longer appropriate clare that all stat made on informa were made with the ry fine or imprison hat such willful f	t to small entity status prior to small entity status prior to some maintenance fee due after etc. (37 C.F.R. § 1.28(b).) tements made herein of my ation and belief are believed the knowledge that willful falsoment, or both, under Section	t, notification of any change in status or paying, or at the time of paying, the rather the date on which status as a small own knowledge are true and that all it to be true; and further that these is statements and the like so made are 1001 of Title 18 of the United States are the validity of the application, any is statement is directed.
NAME OF P	ERSON SIGNING	Mark Ashby	·
TITLE OF PE	RSON OTHER TH	IAN OWNER Director of Re	search and Development
ADDRESS O	F PERSON SIGNII	NG	
SIGNATURE	Ma	Rasle	DATE /-3/-0/